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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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10/781,984

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Evgenia Mandrusov

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8791

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11/26/2008

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EXAMINER

LEACH, CRYSTAL I

ART UNIT

PAPER NUMBER

3737

MAIL DATE

DELIVERY MODE

11/26/2008

PAPER

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

<b>Office Action Summary</b>	<b>Application No.</b> 10/781,984	<b>Applicant(s)</b> MANDRUSOV ET AL.	
	<b>Examiner</b> CRYSTAL I. LEACH	<b>Art Unit</b> 3737	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

#### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

#### Status

- 1) ☒ Responsive to communication(s) filed on 04 August 2008.
- 2a) ☒ This action is **FINAL**.                      2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

#### Disposition of Claims

- 4) ☒ Claim(s) 1 and 3-31 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1 and 3-31 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

#### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 04 August 2008 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

#### Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All    b) ☐ Some \*    c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

#### Attachment(s)

- |  |   |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)            | 4) <input type="checkbox"/> Interview Summary (PTO-413)           |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)   | Paper No(s)/Mail Date. _____                                      |
| 3) <input checked="" type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08) | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| Paper No(s)/Mail Date <u>11/2/2008</u>   | 6) <input type="checkbox"/> Other: _____                          |

***Response to Arguments***

1. Applicant's arguments with respect to claims 1 and 3-31 have been considered but are moot in view of the new ground(s) of rejection.

**DETAILED ACTION**

***Information Disclosure Statement***

2. The Information Disclosure Statements (IDS) submitted on November 2, 2008 is in compliance with 37 CFR 1.97 and 1.98. The references therein have been considered.

***Claim Rejections - 35 USC § 103***

3. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

4. Claims 1 and 3-9 are rejected under 35 U.S.C. 103(a) as being unpatentable over Vigil et al. (6,102,904) or Kaplan et al. (5,941,868) or Hofling (5,354,279) or Faxon et al. (5,464,395) in view of Hiki et al. (5,499,630).

Regarding claim 1, Vigil et al. teach a method comprising positioning a delivery device at a location in a blood vessel; advancing the delivery device a distance into a wall of the blood vessel to a treatment site beyond an external elastic lamina of the blood vessel; and after advancing the delivery device, introducing a treatment agent through the delivery device (see abstract and fig. 2-16C).

Regarding claim 1, Kaplan et al. teach method comprising positioning a delivery device at a location in a blood vessel; advancing the delivery device a distance into a wall of the blood vessel to a treatment site beyond an external elastic lamina of the blood vessel; and after advancing the delivery device, introducing a treatment agent through the delivery device (see abstract and col. 2, l. 18 – col. 3, l. 58).

Regarding claim 1, Hofling teaches method comprising positioning a delivery device at a location in a blood vessel; advancing the delivery device a distance into a wall of the blood vessel to a treatment site beyond an external elastic lamina of the blood vessel; and after advancing the delivery device, introducing a treatment agent through the delivery device (see abstract and fig. 1, 2, 5, 8, 11, 10 and col. 1, l. 48 – col. 3, l. 51).

Regarding claim 1, Faxon et al. teach method comprising positioning a delivery device at a location in a blood vessel; advancing the delivery device a distance into a wall of the blood vessel to a treatment site beyond an external elastic lamina of the blood vessel; and after advancing the delivery device, introducing a treatment agent through the delivery device (see abstract and fig. 1, 3, and 8-19 and col. 2, l. 42 - col. 3, l. 61).

The embodiments of the apparatus taught Vigil et al., Kaplan et al., Hofling and Faxon et al. do not teach imaging a portion of a wall of the blood vessel. However, imaging probes and catheters are well known in the art. It would have been obvious to one of ordinary skill in the art at the time of the invention to include image capabilities in any of these catheters in order to improve visualization and instrument guidance to a

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desired region of interest within a vessel or to a treatment area. It would be obvious to one of ordinary skill in the art that any of these devices would be capable of treating per-adventitial space or its surrounding regions. Specifically, Kaplan et al. teach that therapeutic agents may be delivered to neointimal, intimal, medial, adventitial and perivascular spaces (see col. 2, l. 6-61). The catheter systems taught by Vigil et al. or Kaplan et al. or Hofling or Faxon et al. are capable of being maneuvered to a location as desired by a user for a particular treatment. Kaplan et al. teach that the treatment agent is capable of sustained release and is therefore, in a sustained release carrier (see col. 3, l. 42-58). It would be obvious to one of ordinary skill in the art utilize a variety of treatment agents having various average diameters in order to treat a particular region of interest.

Hiki et al. teach a catheter type ultrasound probe capable of performing ultrasound imaging and optical imaging of a region requiring treatment (see fig. 1-5 and col. 4, l. 38-60). It would be obvious to one of ordinary skill in the art that ultrasonic imaging capabilities will enable imaging of a thickness of a portion of the blood vessel wall.

It would have been obvious to one of ordinary skill in the art at the time of the invention to include imaging in the inventions of Vigil et al. or Kaplan et al. or Hofling or Faxon et al., in light of the teachings of Hiki et al., in order to enhance the utility of the delivery catheter.

5. Claims 10- 27 are rejected under 35 U.S.C. 103(a) as being unpatentable over Kaplan et al. in view of Roorda et al. (5,540,912) or Slepian et al. (5,575,815).

Kaplan et al. teach delivery of therapeutic agents for promotion of angiogenesis (see abstract) wherein the agents comprise fibroblast growth factor, vascular endothelial growth factor, fibrinolytic agents and polymer agents (see col. 3, l. 42-58 and col. 4, l. 25-64). It would be obvious to one of ordinary skill in the art that an agent capable of promoting angiogenesis is also capable of being an opsonin-inhibitor and inducing an inflammatory response. There exists a finite number of therapeutic agents and it would therefore be obvious to a skilled person in the arts to substitute or try any number of the agents in order to achieve the desired or anticipated result of stimulating angiogenesis.

Roorda et al. teach a multitude of controlled-release therapeutic agents that could be substituted to achieve the goal of stimulating angiogenesis (see col. 3, l. 50 - col. 9, l. 6).

Slepian et al. also teach a number of therapeutic agents that could be substituted into the invention of Kaplan et al. (see col. 5, l. 1- col. 6, l. 50; col. 7, l. 10 – col. 11, l. 26).

It would have been obvious to one of ordinary skill in the art at the time of the invention to include any number of therapeutic agents in the invention of Kaplan et al., in light of the teaching of Roorda et al. or Slepian et al., in order to obtain desirable results of angiogenesis stimulation.

6. Claims 28-31 are rejected under 35 U.S.C. 103(a) as being unpatentable over Hofling (5,354,279) in view of Hiki et al. (5,499,630).

Hofling teaches an apparatus comprising a catheter, a dilatable balloon, and at least one needle (see col. 1, l. 48 – col. 3, l. 51).

Hofling does not teach that the catheter has imaging capabilities.

Hiki et al. teach a catheter type ultrasound probe capable of performing ultrasound imaging and optical imaging of a region requiring treatment (see fig. 1-5 and col. 4, l. 38-60). It would be obvious to one of ordinary skill in the art that ultrasonic imaging capabilities will enable imaging of a thickness of a portion of the blood vessel wall.

It would have been obvious to one of ordinary skill in the art at the time of the invention to include imaging in the inventions of Vigil et al. or Kaplan et al. or Hofling or Faxon et al., in light of the teachings of Hiki et al., in order to enhance the utility of the delivery catheter.

### ***Conclusion***

7. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure. Crowley (5,588,432) teaches catheters for imaging, sensing electrical potentials, and ablating tissue; Hamm et al. (5,546,948) teach an ultrasound imaging guidewire; and Altman et al. (6,086,582) teach a cardiac drug delivery system.

Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not

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mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to CRYSTAL I. LEACH whose telephone number is (571)272-5211. The examiner can normally be reached on Monday through Friday, 8 am - 4:30pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Brian Casler can be reached on 571-272-4956. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/BRIAN CASLER/



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